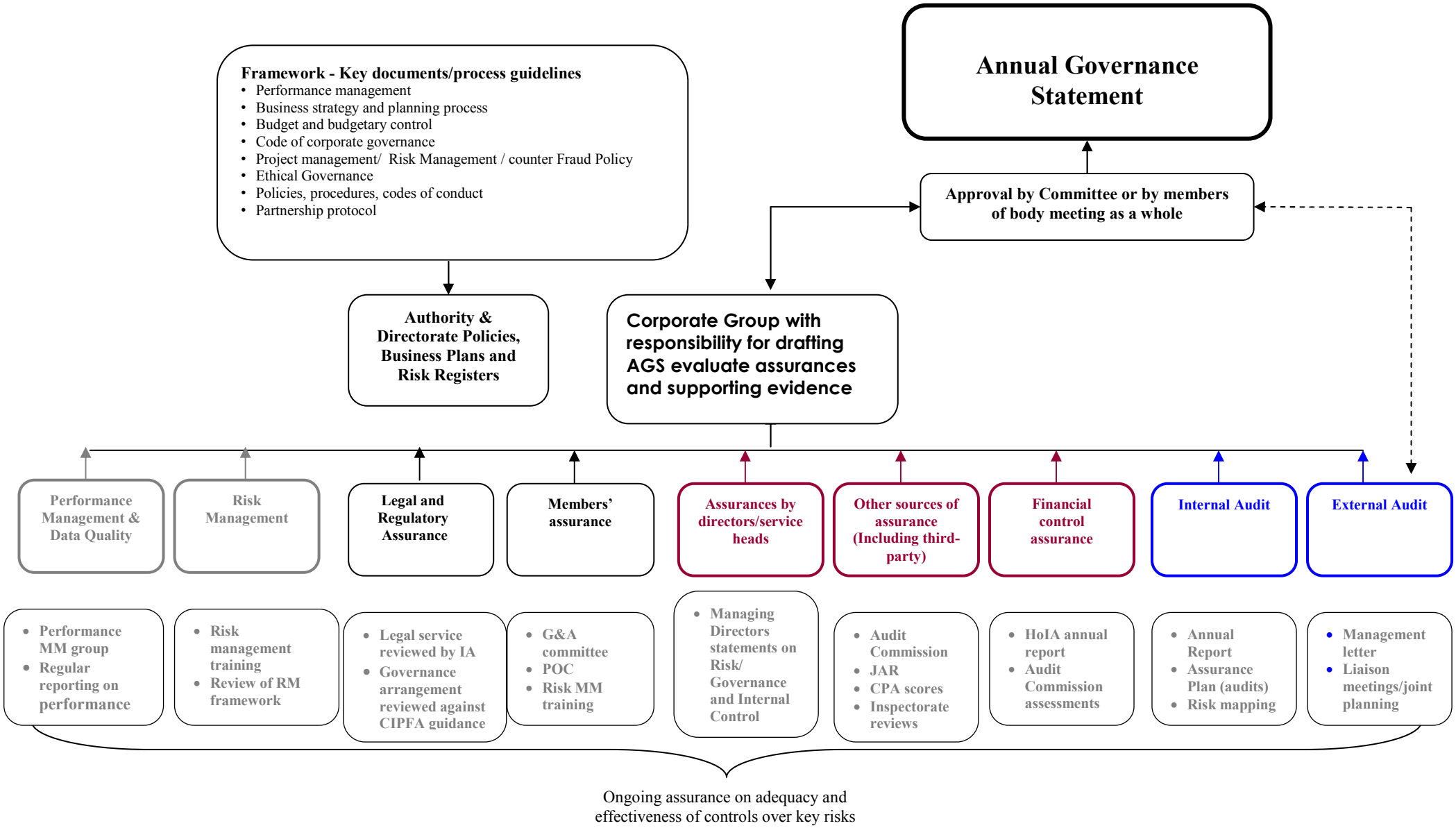
Annual Governance Statement
Approval by Committee or by members of body meeting as a whole
Framework - Key documents/process guidelines
• Performance management
• Business strategy and planning process
• Budget and budgetary control
• Code of corporate governance
• Project management/ Risk Management / counter Fraud Policy
• Ethical Governance
• Policies, procedures, codes of conduct
• Partnership protocol
Authority & Directorate Policies, Business Plans and Risk Registers
Corporate Group with responsibility for drafting AGS evaluate assurances and supporting evidence
Performance Management & Data Quality
Risk Management
Legal and Regulatory Assurance
Members' assurance
Assurances by directors/service heads
Other sources of assurance (Including third-party)
Financial control assurance
Internal Audit
External Audit
• Performance MM group
• Regular reporting on performance
• Risk management training
• Review of RM framework
• Legal service reviewed by IA
• Governance arrangement reviewed against CIPFA guidance
• G&A committee
• POC
• Risk MM training
• Managing Directors statements on Risk/ Governance and Internal Control
• Audit Commission
• JAR
• CPA scores
• Inspectorate reviews
• HoIA annual report
• Audit Commission assessments
• Annual Report
• Assurance Plan (audits)
• Risk mapping
• Management letter
• Liaison meetings/joint planning
Ongoing assurance on adequacy and effectiveness of controls over key risks